



EXPRESS MAIL NO. EV170139843US

PATENT

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Robert A. Henderson et al.  
Application No. : 09/902,941  
Filed : July 10, 2001  
For : COMPOSITIONS AND METHODS FOR THE THERAPY AND  
DIAGNOSIS OF LUNG CANCER

Examiner : Young Kim  
Art Unit : 1637  
Docket No. : 210121.478C17  
Date: : March 18, 2003

Commissioner for Patents and Trademarks  
Washington, DC 20231

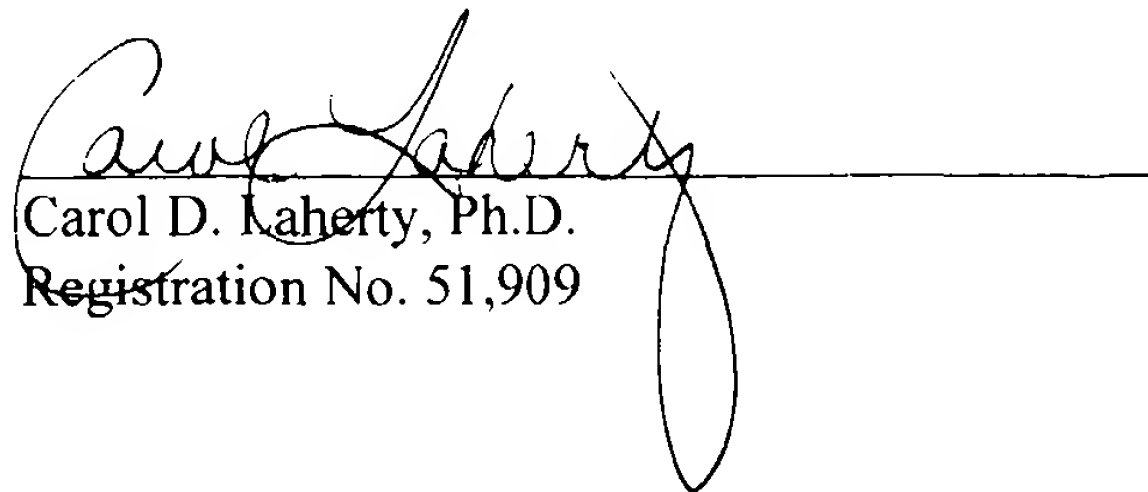
SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents:

In accordance with 37 C.F.R. §§ 1.56 and 1.97 through 1.98, applicants wish to make known to the Patent and Trademark Office the references set forth on the attached Form PTO-1449 (copies of the cited references, as required under 37 C.F.R. § 1.98, are enclosed). As to any reference supplied, applicants do not admit that it is “prior art” under 35 U.S.C. §§ 102 or 103, and specifically reserve the right to traverse or antedate any such reference, as by a showing under 37 C.F.R. § 1.131 or other method. Although the aforesaid references are made known to the Patent and Trademark Office in compliance with applicants’ duty to disclose all information they are aware of which is believed relevant to the examination of the above-identified application, applicants believe that their invention is patentable.

Please acknowledge receipt of this Second Supplemental Information Disclosure Statement and kindly make the cited references of record in the above-identified application.

Respectfully submitted,  
Seed Intellectual Property Law Group PLLC



Carol D. Laherty, Ph.D.  
Registration No. 51,909

CDL:ljt

Enclosures:  
Form PTO-1449  
Copies of Cited References (16)

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Sheet 1 of 2

FORM PTO-1449  
(REV. 7-80)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.  
210121.478C17APPLICATION NO.  
09/902,945**SECOND SUPPLEMENTAL INFORMATION  
DISCLOSURE STATEMENT**

(Use several sheets if necessary)

APPLICANTS

Robert A. Henderson et al.

FILING DATE

July 10, 2001

GROUP ART UNIT

1637

**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
AA						

**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	AB	EP 1033401 A2	09/06/00	EPO		
	AC	EP 1026242 A1	08/09/00	EPO		
	AD	WO 01/51628	07/19/01	WIPO		
	AE	WO 01/42451	06/14/01	WIPO		
	AF	WO 00/22130	04/20/00	WIPO		
	AG	WO 00/21990	04/20/00	WIPO		

**OTHER PRIOR ART** (Including Author, Title, Date, Pertinent Pages, Etc.)

	AH	GenBank Database, Accession No. AF251237, August 23, 2000.
	AI	GenBank Database, Accession No. AAG01401, August 23, 2000.
	AJ	Genbank Database, Accession No. AJ290447, May 5, 2001.
	AK	GenBank Database, Accession No. CAC38108, May 5, 2001.
	AL	GenBank Database, Accession No. XM_010376, October 16, 2001.
	AM	GenBank Database, Accession No. XP_010376, October, 16, 2001.
	AN	Geneseq Database (Derwent), Accession No. AAH64751, September 11, 2001.

EXAMINER	DATE CONSIDERED
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\* EXAMINER: Initial if reference considered, whether or not criteria is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).

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## U.S. PATENT DOCUMENTS

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	BA						

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	BB					
	BC					
	BD					
	BE					
	BF					
	BG					

## OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	BH		Geneseq Database (Derwent), Accession No. AAL13774, December 7, 2001.
	BI		Geneseq Database (Derwent), Accession No. AAL22641, December 7, 2001.
	BJ		Geneseq Database (Derwent), Accession No. AAS37109, December 17, 2001.
	BK		
	BL		
	BM		
	BN		

EXAMINER

DATE CONSIDERED

\* EXAMINER: Initial if reference considered, whether or not criteria is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).